

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
Y-189

2853  
#10  
CE  
5-15-2

In Re Application Of: Yuji MATSUDA

Serial No.  
09/937,858

Filing Date  
September 28, 2001

Examiner

Group Art Unit  
2853

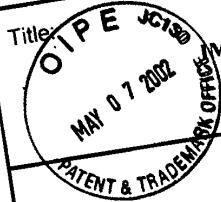


IMAGE FORMING DEVICE

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);  
**OR**
  - the fee set forth in 37 CFR 1.17(p).

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Docket No.  
Y-189

In Re Application: **Yuji MATSUDA**

Serial No.  
**09/937,858**

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Group Art Unit  
**2853**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of \_\_\_\_\_

Credit any overpayment.

Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

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Signature of Person Mailing Correspondence

James H. Walters

Typed or Printed Name of Person Mailing Certificate

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Dated:

*MAY 3, 2002*

James H. Walters, Reg. No. 35,731

802

DELLETT AND WALTERS

310 S.W. Fourth Avenue, Suite 1101

Portland, Oregon 97204 US

(503) 224-0115

cc:

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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**Y-189**

In Re Application Of: **Yuji MATSUDA**

Serial No.  
**09/937,858**

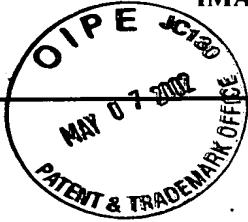
Filing Date  
**September 28, 2001**

Examiner

Group Art Unit  
**2853**

Invention:

**IMAGE FORMING DEVICE**



**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

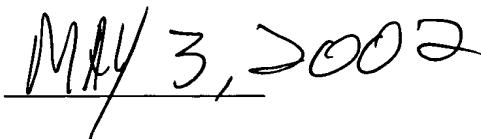
Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
*James H. Walters*  
Signature

Dated:

  
*MAY 3, 2002*

James H. Walters, Reg. No. 35,731

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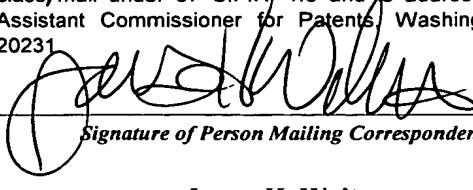
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Signature of Person Mailing Correspondence

James H. Walters  
Typed or Printed Name of Person Mailing Correspondence

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Yuji MATSUDA

S. N. 09/937,858

International S.N.: PCT/US2002/02670



Art Unit: 2853

Examiner:

Filed: September 28, 2001

International Filing Date: 24 April 2000

For: IMAGE FORMING DEVICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant wishes to draw attention to the documents listed on the accompanying Form PTO-1449, copies of which documents are enclosed.

A certification under 37 CFR 1.97(e) is attached. The documents listed in Form PTO-1449 were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, namely a European search report that was mailed March 28, 2002.

Pursuant to MPEP 609, it is requested that the Examiner should initial Form PTO-1449 to confirm that each document has been considered, and return a copy of the form to the undersigned.

Respectfully submitted,

James H. Walters, Reg. No. 35,731

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DELLETT AND WALTERS

Suite 1101

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DOCKET: Y-189

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I hereby certify that this correspondence is being deposited as first class mail with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on this 3rd day of MAY, 2002.

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